## INFORMATION DISCLOSURE TEADERS STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known		
Application Number	09/819,126	<del></del>
Filing Date	March 27, 2001	
First Named Inventor	James ERRICO	<del> </del>
Art Unit		
Examiner Name		

Attorney Docket Number 7146.0107 4 ı of Sheet U. S. PATENT DOCUMENTS Pages, Columns, Lines, Where **Publication Date** Name of Patentee or Cite **Document Number** Examiner Relevant, Passages or Relevant MM-DD-YYYY Applicant of Cited Document Initial Number-Kind Code 2 (47 km Figures Appear 05/04/1999 Schindler et al. US-5,900,867 AA ΑB US-5,901,246 05/04/1999 Hoffberg et al. 05/11/1999 Hoffberg et al. AC US-5,903,454 AD US-5,913,013 06/15/1999 Abecassis ΑE US-5,920,477 07/06/1999 Hoffberg et al. AF US-5,926,624 07/20/1999 Katz et al. Williams et al. 08/31/1999 ΑG US-5,945,988 AH US-5,958,006 09/28/1999 Eggleston et al. 10/26/1999 Αľ US-5,973,683 Cragun et al. Williams et al. 11/02/1999 ΑJ US-5,977,964 11/16/1999 Abecassis ΑK US-5,987,211 11/16/1999 Logan et al. AL US-5,986,692 11/16/1999 Hendricks AM US-5,986,690 11/23/1999 Hendricks et al. AN US-5,990,927 ΑO 11/30/1999 Eggen et al. US-5,995,094 12/04/1999 Abecassis ΑP US-6,002,833 A0 US-6,011,895 01/04/2000 Abecassis 02/22/2000 Herz AR US-6,029,195 03/14/2000 Abecassis AS US-6,038,367 ΑT US-6,049,821 04/11/2000 Theriault et al. ΑU US-6,052,554 04/18/2000 Hendricks et al. ΑV US-6,067,401 05/23/2000 Abecassis ΑW US-6,072,934 06/06/2000 Abecassis 06/27/2000 Hoffberg et al. AX US-6,081,750 07/11/2000 Logan et al. ΑY US-6,088,455 07/18/2000 US-6,091,886 Abecassis

Examiner	Cite		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant, Passages or Relevant Figures Appear	₽
Initials	No.'					
X	ВА	JP11-032267	02-02-1999	Kazuo		
79	вв	JP11-261908	09-24-1999	Masanori et al.		
	ВС	JP2000-013755	01-14-2000	Takenosuke et al.		
	BD	WO99/65237	12-16-1999	Metabyte, Inc.		

Examiner Signature Date Considered 12-22-05

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>8</sup>Applicant is to place a check mark here if English language Translation is attached.

Adv.Legal 0651-0031a

APR 1 1 2005 HULL

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of

	C	omplete if Known
	Application Number	09/819,126
	Filing Date	March 27, 2001
	First Named Inventor	James ERRIĆO
	Art Unit	
	Examiner Name	
_	Attorney Docket Number	7146.0107

Examiner Initials	Cite No.1	Document Number Number-Kind Code <sup>2 of known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant, Passages or Relevant Figures Appear
	AAA	US-Re 36,801	08/01/2000	Logan et al.	
	AAB	US-6,151,444	11/21/2000	Abecassis	
	AAC	US-6,160,989	12/12/2000	Hendricks et al.	
	AAD	US-D 435,561	12/26/2000	Pettigrew et al.	
	AAE	US-6,181,335	01/30/2001	Hendricks et al.	
	AAF	US-6,185,625	02/06/2001	Tso et al.	
	AAG	US-6,198,767	03/06/2001	Greenfield et al.	
	AAH	US-6,201,536	03/13/2001	Hendricks et al.	
	AAI	US-6,208,805	03/27/2001	Abecassis	
	AAJ	US-6,215,526	04/10/2001	Barton et al.	
	AAK	US-6,233,289	05/15/2001	Barton et al.	
	AAL	US-6,230,501	05/15/2001	Bailey Sr. et al.	
	AAM	US-6,252,544	06/26/2001	Hoffberg	
	AAN	US-6,269,216	07/31/2001	Abecassis	
	AAO	US-6,289,165	09/11/2001	Abecassis	
	AAP	US-6,298,482	10/02/2001	Seidman et al.	
	AAQ	US-6,304,715	10/16/2001	Abecassis	
	AAR	US-6,317,718	11/13/2001	Fano	
	AAS	US-6,412,008	06/25/2002	Fields et al.	
1	AAT	US-6,426,761	07/30/2002	Kanevsky et al.	
1	AAU	US-6,426,974	07/30/2002	Takahashi et al.	
7	AAV	US-6,487,390	11/26/2002	Virine et al.	
	AAW	US-6,522,342	02/18/2003	Gagnon et al.	
	AAX	US-6,543,053	04/01/2003	Li et al.	
	AAY	US-6,593,936	07/15/2003	Huang et al.	
10/	AAZ	US-6,594,699	07/15/2003	Sahai et al.	
1/8	ABA	US-6,611,876	08/26/2003	Barrett et al.	
70					
/					

Examiner Signature Date Considered 12-22-05

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>8</sup>Applicant is to place a check mark here if English language Translation is attached

PTO/SB/08B (08-03)
Approved for use through 07/31/2006. OMB 0651-0031

der the Paperwork Reduction Act of 1995, no person Substitute for form 1449/PTO			Complete if Known			
Substitution (443) 10				Application Number	09/819,126	
INF	DRMATION	DIS	CLOSURE	Filing Date	March 27, 2001	
STA	STATEMENT BY APPLICANT			First Named Inventor	James ERRICO	
				Art Unit		
(Use as many sheets as necessary)			iecessary)	Examiner Name		
Sheet	3	of	4	Attorney Docket Number	7146.0107	

			NON PATENT LITERATURE DOCUMENTS	_
		Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
1		CA	Composite Capability/Preference Profiles (CC/PP): A User Side Framework for Content Negotiation, www.3org/1999/07/NOTE-CCP-19990727, 7/27/1999.	
		СВ	"A Proposal for User Preference Descriptions for MPEG-7," ISO/IEC/JTC1/SC29/WG11, M5222, October 1999.	
		СС	"A Proposal for User Preference Descriptions for MPEG-7," ISO/IEC/JTC1/SC29/WG11, M5222, October 1999; updated 10/14/99 and 10/29/99.	
	CD		Ted Lewis, "UbiNet: The Ubiquitous Internet Will Be Wireless," IEEE Computer Magazine, October 1999	
		CE	"MPEG-7 Generic AV Description Schemes (V 7.0)," Summary Description Scheme, ISO/IEC/JTC1/SC29/WG11, N2966, October 1999.	
		CF	"MPEG-7 Description Definition Language (Document V 2)," ISO/IEC/JTC1/SC29/WG11, N2997, October 1999.	
		CG	"MPEG-7 Requirements Document (V 9)," ISO/IEC/JTC1/SC29/WG11, N2859, July 1999.	
		СН	"MPEG-7 Requirements for Description of Users," ISO/IEC/JTC1/SC29/WG11, M4601, March 1999.	
		CI	"MPEG-7 Media/Meta DSs Upgrade (V 2)," ISO/IEC/JTC1/SC29/WG11, August 1999.	
		Cl	"MPEG-7 Description Schemes (V 0.5)," ISO/IEC/JTC1/SC29/WG11, N2844, July 1999.	

Examiner Signature	Date Considered	12-22-05

<sup>\*</sup>EXAMINER: Initial if reference cogsidered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicants.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (08-03) Approved for use through 07/31/2006. OMB 0651-0031 rademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO	e required to respond to a collection	of information unless it contains a valid OMB control number.  Complete if Known
Substitute for form 14437. To	Application Number	09/819,126
INFORMATION DISCLOSURE	Filing Date	March 27, 2001
STATEMENT BY APPLICANT	First Named Inventor	James ERRICO
(Use as many sheets as necessary)	Art Unit	
(Use as many sneets as necessary)	Examiner Name	
Sheet 4 of 4	Attorney Docket Number	7146.0107

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>		
80	СК	XML Schema, Part 1: Structures, W3C Working Draft, 6 May 1999, www.3org/1999/05/06-xmlschema-1/	
	CL	"Specification of the Usage History DS," ISO/IEC/JTC1/SC29/WG11, M5748, March 2000.	
(3	СМ	"Proposal of Usage History DS," ISO/IEC/JTC1/SC29/WG11, M6259, July 2000.	
	CN	Customer Profile Exchange Specification, V. 1.0, October 2000.	

Examiner	19	Date	17-17-05
Signature	_//	Considered	1701

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include capy of this form with next communication to applicants.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.